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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

A circular stamp with the words "U.S. PATENT & TRADEMARK OFFICE" around the perimeter. The center contains "WASH. D.C." at the top, "APR 17 2002" in the middle, and "IN" and "SERIAL" below it. The stamp is marked "OPIE" and "JCTA" at the top and bottom respectively.

1002
In the application : Indulis Gruzins et al.
Serial No. : 09/996,480
Filed : November 20, 2001
For : POLYOLS CONTAINING CARBOXYL
GROUPS AND PRODUCTION THEREOF
Examiner :
Attorney Docket : 102123-200
Group Art Unit : 1623
Confirmation No. : 3615

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 10 APR 2002. 10

By Todd E. Garabedian, Ph.D.
Todd E. Garabedian, Ph.D.
Registration No. 39,197
Attorney for Applicants

Assistant Commissioner for Patents
U.S. Patent & Trademark Office
Washington, D.C. 20231

AMENDMENT TRANSMITTAL LETTER

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below:

No additional fee is required.

A check in amount of \$ _____ is attached.

Charge \$ _____ to Deposit Account No. 23-1665.
Two additional copies of this transmittal are enclosed.

Please charge any additional fees or credit overpayment to Deposit Account No. 23-1665.

A Petition and Fee for Extension of Time is enclosed.

The following additional items are enclosed:

Respectfully submitted,

INDULIS GRUZINS ET AL
By Todd E. Garabedian
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Attorney for Applicants

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Fax: (203) 782-2889

Date: 10 APR 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,480	11/20/2001	Indulis Gruzins	102123-200

CONFIRMATION NO. 3615

FORMALITIES LETTER



OC000000007708165

Docket Coordinator
 WIGGIN & DANA, LLP
 One Century Tower
 265 Church Street
 New Haven, CT 06508-1832

Date Mailed: 03/25/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 02/26/2002 to the Notice to File Missing Parts (Notice) mailed 12/12/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The specification contains drawings or flow diagrams (37 CFR 1.58(a)). Formal drawings in accordance with 37 CFR 1.81 should be submitted.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Indulis Gruzins et al.
Serial No. : 09/996,480
Filed : November 20, 2001
For : POLYOLS CONTAINING CARBOXYL
GROUPS AND PRODUCTION THEREOF
Examiner :
Attorney Docket : 102123-200
Group Art Unit : 1623
Confirmation No. : 3615

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on 10 APR 2002 10.

By Todd E. Garabedian, Ph.D.
Todd E. Garabedian, Ph.D.
Registration No. 39,197
Attorney for Applicant(s)

REPLY TO FORMALITIES LETTER AND
SUBMISSION OF FORMAL DRAWINGS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With respect to the Formalities Letter mailed March 25, 2002, Applicants submit the following amendment and remarks:

U.S. Ser. No. 09/996,480
Filed: November 20, 2001

IN THE SPECIFICATION:

At page 4, line 30, please **ADD** the following paragraphs
pursuant to 37 CFR §1.121(b):

--BRIEF DESCRIPTION OF THE FIGURES

The invention will be more fully understood from the following detailed description taken in conjunction with the accompanying Figures in which:

Figure 1 shows glycerol base carboxyl polyol viscosity as a function of ester group; and

Figure 2 shows TMP base carboxyl polyol viscosity as a function of ester group.--

Please **DELETE** the Figure on Page 8, line 9.

Please **DELETE** the Figure on Page 9, line 1.

U.S. Ser. No. 09/996,480
Filed: November 20, 2001

REMARKS

In response to the Formalities Letter mailed March 25, 2002, requesting formal drawings, Applicants herein amend the specification of the present application to delete Figures 1 and 2, and submit Figures 1 and 2 as formal drawings on separate sheets.

Applicants further amend the specification to insert a section entitled "BRIEF DESCRIPTION OF THE FIGURES" in accordance with 37 CFR §1.74 to describe these figures. The present descriptions were taken from the legends in Figures 1 and 2, respectively, that were filed with the application. Accordingly, Applicants believe that no new matter is added by this amendment.

U.S. Ser. No. 09/996,480
Filed: November 20, 2001

Please charge any fees due with this response to Deposit
Account No. 23-1665.

Respectfully submitted,

INDULIS GRUZINS ET AL.

By


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Date: 10 APR 2002

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